JUDICIAL COUNCIL OF THE TENTH CIRCUIT

IN RE: COMPLAINT UNDER THE JUDICIAL CONDUCT AND DISABILITY ACT Nos. 10-13-90056 & 10-13-90058

Before **BRISCOE**, Chief Judge.

ORDER

Complainant has filed a complaint of judicial misconduct against a district judge and a magistrate judge in this circuit. My consideration of this complaint is governed by 1) the misconduct rules issued by the Judicial Conference of the United States, entitled *Rules for Judicial-Conduct and Judicial-Disability Proceedings* (the "Misconduct Rules"); 2) the federal statute dealing with judicial misconduct, 28 U.S.C. § 351 *et seq.*; and 3) the "Breyer Report," a study by the Judicial Conduct and Disability Act Study Committee, headed by Supreme Court Justice Stephen Breyer, entitled *Implementation of the Judicial Conduct and Disability Act of 1980.* The Breyer Report may be found at: http://www.supreme court.gov/publicinfo/breyercommitteereport.pdf. To the extent that there are any relevant prior decisions of the full Judicial Council of this circuit which are consistent with those authorities, they may also govern my consideration of this complaint. The Misconduct Rules are available to complainants on the Tenth Circuit's web page at: <u>http://www.ca10.uscourts.gov/misconduct.php.</u> Paper copies are also furnished by the Circuit Executive's Office upon request. In accord with those rules, the names of the complainant and subject judge shall not be disclosed in this order. *See* Misconduct Rule 11(g)(2).

Complainant takes issue with rulings by the subject district judge, including the denial of a motion for counsel and the ultimate dismissal of an underlying civil case brought by complainant, as well as the report and recommendation issued by the magistrate judge, challenging the rulings and report and recommendations as wrong both factually and legally. These claims are not cognizable as misconduct because they are "directly related to the merits of a decision or procedural ruling." Misconduct Rule 11(c)(1)(B). As explained in the Breyer Report, this exclusion of matters related to the merits of underlying cases protects the independence of the judges deciding those cases. *See* Breyer Report, App. E., ¶ 2.

Complainant also contends that the judges' rulings were prompted by ill motive, including predisposition on the part of the magistrate judge to support the defendant and prejudice on the part of the district judge in light of the magistrate judge's recommendations. While allegations of wrongful motive can state a valid claim for misconduct even when related to a judge's ruling, *see* Commentary to Misconduct Rule 3, these allegations of bias fail because they are completely

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unsupported. The Misconduct Rules require complainants to support their allegations with "sufficient evidence to raise an inference that misconduct has occurred." *See* Misconduct Rule 11(c)(1)(D). Without more, a judge's ruling against a party does not provide sufficient evidence to support such an inference.

Accordingly, this complaint is dismissed pursuant to Misconduct Rule 11(c). The Circuit Executive is directed to transmit this order to complainant and copies to the subject judges and the Judicial Conference Committee on Judicial Conduct and Disability. *See* Misconduct Rule 11(g)(2). To seek review of this order, complainant must file a petition for review by the Judicial Council. The requirements for filing a petition for review are set out in Misconduct Rule 18(b). The petition must be filed with the Office of the Circuit Executive within 35 days of the date of the letter transmitting this order. *Id*.

So ordered this 5th day of December, 2013.

/s/ Mary Beck Briscoe

Honorable Mary Beck Briscoe Chief Circuit Judge