JUDICIAL COUNCIL OF THE TENTH CIRCUIT

IN RE: COMPLAINT UNDER THE JUDICIAL CONDUCT AND DISABILITY ACT

Nos. 10-13-90010 & 10-13-90011

Before **BRISCOE**, Chief Judge.

ORDER

Complainant has filed a complaint of judicial misconduct against a district judge and a magistrate judge in this circuit. My consideration of this complaint is governed by 1) the misconduct rules issued by the Judicial Conference of the United States, entitled Rules for Judicial-Conduct and Judicial-Disability Proceedings (the "Misconduct Rules"); 2) the federal statute dealing with judicial misconduct, 28 U.S.C. § 351 et seq., and 3) the "Breyer Report," a study by the Judicial Conduct and Disability Act Study Committee, headed by Supreme Court Justice Stephen Breyer, entitled Implementation of the Judicial Conduct and Disability Act of 1980. The Breyer Report may be found at: http://www.supreme court.gov/publicinfo/breyercommitteereport.pdf. To the extent that there are any relevant prior decisions of the full Judicial Council of this circuit which are consistent with those authorities, they may also govern my consideration of this complaint.

Complainant has been provided with a copy of the Misconduct Rules, and the Rules are also accessible on the Tenth Circuit's web page at: http://www.ca10.uscourts.gov/misconduct.php. In accord with those rules, the names of the complainant and subject judges shall not be disclosed in this order. *See* Misconduct Rule 11(g)(2).

Complainant has filed both a complaint form and a subsequent letter, both setting out allegations against the subject judges. First, complainant contends that the judges have failed to exercise their duties to rule on outstanding motions. Second, complainant takes issue with the judges' rulings, specifically, one ruling which struck various affidavits and other documents filed by complainant, and another which denied a motion for leave to file a motion for reconsideration out of time. These allegations are not cognizable as misconduct because they are "directly related to the merits of a decision or procedural ruling." Misconduct Rule 11(c)(1)(B). As explained in the Breyer Report, this exclusion of matters related to the merits of underlying cases protects the independence of the judges deciding those cases. See Breyer Report, App. E., ¶ 2.

To the extent that complainant's allegations implicate claims of delay, such allegations may form the basis for a misconduct claim, *see* Misconduct Rule 3(h)(3)(B). However, for the claim to be cognizable as misconduct, a complainant must allege and provide evidence of either improper motive or

"habitual delay in a significant number of unrelated cases." *Id.* Complaint has done neither.

Accordingly, this complaint is dismissed pursuant to Misconduct Rule 11(c). The Circuit Executive is directed to transmit this order to complainant and copies to the subject judges and the Judicial Conference Committee on Judicial Conduct and Disability. *See* Misconduct Rule 11(g)(2). To seek review of this order, complainant must file a petition for review by the Judicial Council. The requirements for filing a petition for review are set out in Misconduct Rule 18(b). The petition must be filed with the Office of the Circuit Executive within 35 days of the date of the letter transmitting this order. *Id*.

So ordered this 5th day of April, 2013.

/s/ Mary Beck Briscoe

Honorable Mary Beck Briscoe Chief Circuit Judge